

1635

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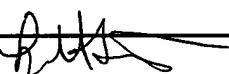
TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/994,412
	Filing Date	November 27, 2001
	First Named Inventor	Ulrich Certa
	Art Unit	1635
	Examiner Name	Karen A. Lacourciere
Total Number of Pages in This Submission	Attorney Docket Number 20787	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): _____
Remarks		
PTO Form 1449 with (1) Reference, Official Letter, Statement Under 37 CFR Section 1.97(e), and Post Card.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Lyman H. Smith
Signature	
Date	January 16, 2004

CERTIFICATE OF TRANSMISSION/MAILING

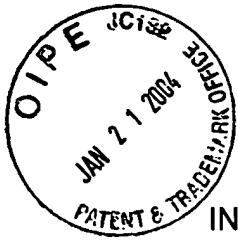
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Signature	
Date	January 16, 2004

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Ulrich Certa, et al.

Group: 1635

Serial No.: 09/994,412, filed November 27, 2001

Examiner: Karen A. Lacourciere

For: **INHIBITION OF EXPRESSION OF A TARGET GENE**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110

Date : January 16, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Document B5 listed on Form PTO-1449 is enclosed.

Enclosed is an Official Letter, dated December 3, 2003, which was issued by the British Patent Office in the corresponding application. The Search Report lists Documents A1, B2-B5 and C4. Documents A1, B2, B3, and C4 are not enclosed since they have previously been submitted in Applicant's Supplemental Information Disclosure Statement mailed August 21, 2002. Also, Document B4 is not enclosed since it has previously been submitted in Applicant's Supplemental Information Disclosure Statement mailed September 26, 2003.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 09/994,412
Filed: November 27, 2001

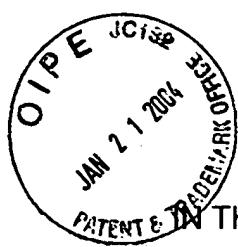
Since the Information Disclosure Statement is submitted after the first Office Action on the merits and after three months of the filing date for the captioned application, there is enclosed a Certificate under 37 C.F.R. § 1.97(e). If any fees are deemed necessary, authorization is given to charge the amount of any such fee to Deposit Account No. 08-2525.

Respectfully submitted,



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In re Patent Application

Inventors: Ulrich Certa, et al.

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Examiner: Karen A. Lacourciere

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STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110

Date: January 16, 2004

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P.O. Box 1450
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Sir:

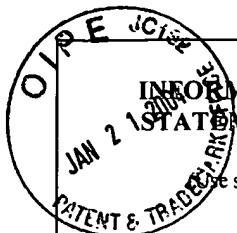
I hereby certify:

X That each item of information contained in the enclosed supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this supplemental information disclosure statement.

— That no item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Sheet 1 of 1 Attorney Docket Number 20787

Complete if Known	
Application Number	09/994,412
Filing Date	November 27, 2001
First Name Inventor	Ulrich Certa
Group Art Unit	1635
Examiner Name	Karen A. Lacourciere

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation or abstract is attached.